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# Effects of Heat Treatment on the Color Change and Dimensional Stability of *Gmelina arborea* and *Melia azedarach* Woods

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**Abstract.** This study aimed to improve the color properties and dimensional stability of *Gmelina arborea* and *Melia azedarach* woods via heat treatment. Heat treatment was conducted using an electric furnace at 180°C and 210°C for 3 h, with a heating rate of 2°C/min. Wood samples were stacked with and without metal clamp. The effects of temperature and clamping during heat treatment on the color change and dimensional stability were evaluated. The evaluation of color change was performed using the CIE-Lab color system and the evaluation of dimensional stability was conducted by measuring the equilibrium moisture content and water absorption. The results showed that the overall color changes ( $\Delta E^*$ ) in *Gmelina* and *Melia* woods were mainly affected by the reduction in lightness ( $L^*$ ) due to heat treatment. The  $\Delta E^*$  increased with increasing treatment temperature, with a higher degree obtained in *Gmelina* wood. Application of metal clamp during treatment limited the exposure of wood surface to the heated air, resulting in lower value of  $\Delta E^*$  than the samples without metal clamp. Dimensional stability of *Gmelina* and *Melia* woods improved by heat treatment, showing lower equilibrium moisture content and water absorption than the untreated woods. Furthermore, heat treated *Melia* absorbed less water than *Gmelina*. The results suggested that heat treatment could enhance the color properties and dimensional stability of *Gmelina* and *Melia* woods for value added products.

## 1 Introduction

Plantation forests play an important role in providing timber supply in Indonesia. The Indonesian Ministry of Forestry (2014) reported that timber production in 2013 was 23.23 million m<sup>3</sup>, with the majority of timber production (84% or 19.55 million m<sup>3</sup>) was produced from plantation forests, while the supply from natural forests was only 16% or 3.68 million m<sup>3</sup> [1]. The tree species that commonly planted in plantations are fast growing tree species

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38 including gmelina (*Gmelina arborea*) and mindi (*Melia azedarach*). These species are very  
39 promising to be developed both in industrial plantations and in community forests. However,  
40 fast-growing wood species usually has a high proportion of juvenile wood, low density, low  
41 strength and high longitudinal shrinkage [2, 3]. Our previous studies also revealed that  
42 gmelina and mindi have relatively low density, low durability, and low mechanical properties  
43 that are not suitable for structural timbers [4-6].

44 Some properties of gmelina and mindi woods can be improved by thermal modification.  
45 Thermal modification or heat treatment of wood is the introduction of heat to wood at  
46 temperature ranging between 160 - 260°C to improve the inherent properties of wood, to  
47 produce new materials and to acquire a form and functionality desired by engineers without  
48 changing the eco-friendly characteristics of the material [7]. Heat treatment of wood has more  
49 advantages compared to other wood modification techniques such as chemical, impregnation,  
50 and surface modification because it is relatively simpler and more eco-friendly because no  
51 hazardous chemicals used in the process.

52 Our previous studies on heat treatment have been carried out using various process  
53 conditions to improve the properties of woods from temperate region as Korean white pine  
54 (*Pinus koraiensis* Sieb. & Zucc.) and royal paulownia (*Paulownia tomentosa* (Thunb.)  
55 Siebold & Zucc. ex Steud.) [8, 9] and high-density wood as okan (*Cylicodiscus gabunensis*  
56 [Taub.] Harms) [10-12] showing the improvement in wood color, equilibrium moisture  
57 content, and improves dimensional stability. However, study on heat treatment of tropical  
58 wood species as gmelina and mindi has not been performed. Therefore, we studied the heat  
59 treatment of gmelina and mindi woods and determined its effects on the color properties and  
60 dimensional stability.

## 61 1.2 Materials and methods

### 62 1.1.1 Materials

63 Logs of two fast-growing wood species i.e., gmelina (*Gmelina arborea*) and mindi (*Melia*  
64 *azedarach*) were converted into boards with dimensions of 200 mm (length) × 90 mm (width)  
65 × 20 mm (thickness). Only boards with straight grain and free of natural defects were  
66 selected. Selected boards were then air-dried and conditioned at 25°C under relative humidity  
67 of 70-80% until reached equilibrium moisture content (EMC).

### 68 1.1.2 Heat treatment

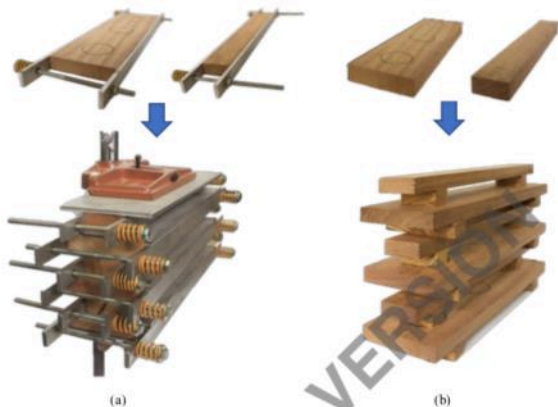
69 Sample boards were stacked with metal clamps with the arrangement as shown in **Fig. 1**.  
70 Another set of boards were stacked without clamps for comparison. Heat treatment was  
71 conducted using electric furnace with the following steps: 1) raise the furnace temperature  
72 from 25°C to targeted temperature with a heating rate of 2°C/minute, 2) maintain target  
73 temperature of 180°C or 210°C for 3 hours, 3) lower the temperature until reaching room  
74 temperature and then take out the boards from the furnace. The heat-treated boards were then  
75 kept at conditioning room until they reached EMC.

### 76 1.1.3 Board evaluation

#### 77 Color change

78 Color change was measured using CIE-Lab color systems consisting of three color  
79 parameters as lightness ( $L^*$ ), red/green chromaticity ( $a^*$ ), and yellow/blue chromaticity ( $b^*$ )  
80 [13]. The  $L^*$  parameter has a maximum value of 100 (white) and minimum value of 0 (black).

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103 **Fig. 1.** Board stacking during heat treatment: (a) with metal clamp and (b) without clamp.

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The  $a^*$  parameter with positive value indicated red color ( $+a^*$ ) while negative value indicated green color ( $-a^*$ ).  $b^*$  with positive value indicated yellow color ( $+b^*$ ) while negative value indicated blue color ( $-b^*$ ). Color measurement was conducted using *chromameter* (CR-400, Konica Minolta Inc., Tokyo, Jepang) on the surface of the sample boards. The overall color change ( $\Delta E^*$ ) after heat treatment was measured using following equation:

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$$\Delta E^* = (\Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2})^{1/2} \quad (1)$$

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where  $\Delta L^*$  is the difference in lightness,  $\Delta a^*$  is the difference in red/green chromaticity, and  $\Delta b^*$  is the difference in yellow/blue chromaticity after heat treatment. The value of  $\Delta E^*$  where then used to determine the levels of perceived difference in color [14, 15].

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### *Physical properties and dimensional stability*

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The physical properties and dimensional stability were tested by measuring the weight loss, volume shrinkage, density, equilibrium moisture content (EMC), water absorption, and wettability of the sample boards before and after heat treatment. Weight loss (WL) and volume shrinkage (VS) were calculated using following equations:

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$$WL (\%) = 100 \times (m_1 - m_2) / m_1 \quad (2)$$

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$$VS (\%) = 100 \times (V_1 - V_2) / V_1 \quad (3)$$

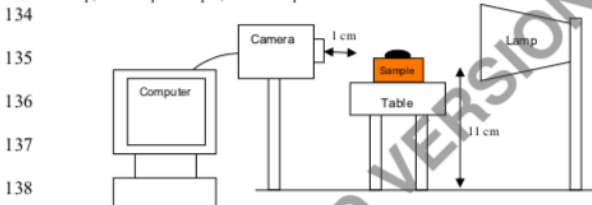
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where  $m_1$  and  $V_1$  are oven-dried weight (g) and volume ( $\text{cm}^3$ ) of sample before heat treatment, respectively.  $m_2$  dan  $V_2$  are oven-dried weight (g) and volume after heat treatment, respectively.

123 Density of the boards was tested by measuring weight and volume of samples in oven dry  
124 condition in accordance with the KS F 2198 standard [16]. Equilibrium moisture content was  
125 tested according to KS F 2199 standard [17] by measuring air-dried and oven-dried weight  
126 of boards before and after heat treatment. Water absorption test was conducted according to  
127 KS F 2204 standard [18] by immersing wood samples in ambient water for two weeks and  
128 measuring the weight of samples before and after water immersion.

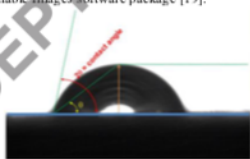
## 129 *Wettability*

130 Wettability of gmelina and mindi woods was tested by measuring contact angle on the  
131 surface of the untreated and heat-treated samples. A laboratory-scale contact angle analyzer  
132 was developed with the design as shown in Fig. 2, consist of digital camera with fixed lens,  
133 lamp, table to put sample, and a computer.



139 Fig. 2. Design of a lab-scale contact angle analyzer.

140 Wood sample was placed on the flat table and then a 5 mL liquid was dropped on the tangential  
141 surface of the samples. The contact angle formed by the liquid was measured using half-angle method  
142 (Fig. 3) using the freely-available ImageJ software package [19].



143  
144 Fig. 3. Measurement of contact angle using Half-Angle Method.

## 145 **1.3 Results and discussion**

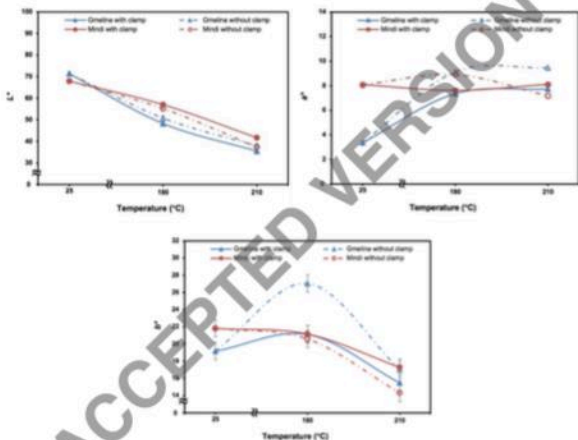
### 146 *1.3.1 Color change*

147 The results showed that lightness ( $L^*$ ) of untreated gmelina wood was slightly higher than  
148 mindi (Fig. 4). The  $L^*$  values in gmelina and mindi woods decreased after heat treatment with  
149 metal clamp and without metal clamp and the values decreased linearly along with the  
150 increase of the treatment temperature, showing similar trend with the previous study [10].  
151 The heat treatment contributed a greater effect on the change of lightness in gmelina than in  
152 mindi wood as shown by higher decrease of  $L^*$  values in gmelina. Samples with metal clamp  
153 of heat-treated gmelina wood have a higher  $L^*$  value compared to samples without metal



154 clamp. In contrast, samples with metal clamp of mindi wood have a lower  $L^*$  value compared  
155 to samples without metal clamp.

156 The untreated mindi wood has a higher red/green chromaticity value ( $a^*$ ) compared to  
157 gmelina (Fig. 4). The  $a^*$  values in mindi tends to decrease with increasing treatment  
158 temperature while in gmelina the  $a^*$  values increased with increasing treatment temperature.  
159 The use of metal clamps tends to increase the value of  $a^*$  in both types of wood. Yellow/blue  
160 chromaticity value ( $b^*$ ) of untreated mindi wood was slightly higher than gmelina. The  $b^*$   
161 values in mindi wood decreased with increasing temperature, where the sample with clamps  
162 shows a greater decrease compared to without clamp (Fig. 14). The phenomenon that occurred  
163 in gmelina was quite different from mindi, indicated by an increase of the  $b^*$  value after heat  
164 treatment at 160°C and then decreased dramatically after heat treatment at 210°C with a  
165 smaller value compared to untreated wood.



166

167

168 **Fig. 4.** Effects of temperature and clamping during heat treatment on the change of color parameters.

169 The overall color change ( $\Delta E^*$ ) increased with increasing treatment temperature, showing  
170 greater  $\Delta E^*$  in gmelina than in mindi wood (Table 1). The results showed that the decrease  
171 of  $L^*$  values contributed significantly on  $\Delta E^*$  values obtained after heat treatment. Cui et al.  
172 (2004) reported that  $\Delta L^*$  is the most important parameter affecting  $\Delta E^*$  [14]. The decrease in  
173  $L^*$  values is related to hemicellulose degradation that occurs when the heat treatment process  
174 takes place [20]. Samples with metal clamp showed lower  $\Delta E^*$  than samples without clamp,  
175 with the exception of gmelina wood heat-treated at 180°C. Hidayat et al. (2016) stated that  
176 the application of metal clamp during heat treatment protected the tangential and radial wood  
177 surfaces from direct exposure to hot air, where the the direct contact with hot air occurred  
178 only in the cross section of the board. This caused the level of evaporation and oxidation of  
179 the chemical components of wood to be lower than in samples without metal clamps.

180 **Table 2** shows that the color of gmelina was totally changed after heat treatment at 180°C  
 181 and 210°C. The color change in mindi wood after heat treatment at 180°C was categorized  
 182 as very appreciable and the color of mindi wood was totally changed after heat treatment at  
 183 210°C. Similar results were observed by Bekhta and Niemz (2003) who stated that wood  
 184 color changed drastically after heat treatment above 200°C [21]. Hidayat *et al.* (2015)  
 185 revealed that the temperature of heat treatment ranging from 180°C - 200°C are critical  
 186 temperature points that causes significant color changes on woods [10].

187

**Table 1.** Overall color change ( $\Delta E^*$ ) after heat treatment.

Wood species	Temp. (°C)	$\Delta E^*$ and the corresponding change <sup>1</sup>	
		With clamp	Without clamp
Gmelina	180	25,15 (Totally changed)	18,66 (Totally changed)
	210	36,17 (Totally changed)	38,44 (Totally changed)
Mindi	180	10,92 (Very appreciable)	11,88 (Very appreciable)
	210	27,25 (Totally changed)	36,24 (Totally changed)

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<sup>1</sup>According to the  $\Delta E^*$  classification [14, 15]: a)  $0 < \Delta E^* \leq 0,5$  = negligible; b)  $0,5 < \Delta E^* \leq 1,5$  = slightly perceivable; c)  $1,5 < \Delta E^* \leq 3,0$  = noticeable; d)  $3,0 < \Delta E^* \leq 6,0$  = appreciable; e)  $6,0 < \Delta E^* \leq 12,0$  = very appreciable; and f)  $\Delta E^* > 12,0$  = totally changed.

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### 1.3.2 Physical properties

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The results showed that the weight loss and volume shrinkage in gmelina and mindi woods affected by heat treatment, showing a linear decrease in values with increasing treatment temperature (**Table 2**). The weight loss in gmelina wood was higher than in mindi, with the exception of samples heat-treated at 210°C without clamp which showed a smaller value than in mindi. The results might related to differences in density and chemical composition of wood especially the extractives content, where gmelina wood has more extractives (3.0%) than mindi (2.6%) [22, 23]. This is in line with the results of research by Esteves and Pereira (2009) who stated that during heat treatment, extractives in wood are easily degraded and evaporated from wood when the heat treatment process takes place.

202

**Table 2.** Weight loss and volume shrinkage of gmelina and mindi wood after heat treatment.

Wood species	Temp. (°C)	Weight loss (%)		Volume shrinkage (%)	
		With clamp	Without clamp	With clamp	Without clamp
Gmelina	180	2,06 (0,20)	1,98 (0,20)	1,29 (0,23)	1,09 (0,37)
	210	6,64 (1,08)	7,74 (1,16)	1,95 (0,04)	3,99 (0,16)
Mindi	180	0,43 (0,10)	0,61 (0,15)	1,21 (0,58)	1,12 (0,18)
	210	6,13 (1,20)	10,73 (1,33)	2,34 (0,27)	4,25 (0,37)

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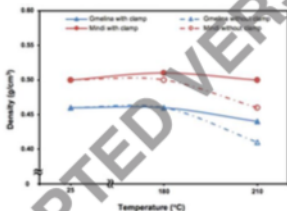
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<sup>\*</sup>The means are averages of 3 measurements. Numbers in parenthesis are standard deviations.



204 The weight loss percentages in gmelina and mindi after heat treatment at 180°C could be  
205 categorized as low, with the values below 3% while the weight loss in both woods after heat  
206 treatment at 210°C reached above 3%. Weight loss is a very important parameter to determine  
207 the degree of thermal decomposition during heat treatment. Viitaniemi *et al.* (1997) stated  
208 that a weight loss of at least 3% after heat treatment could increase the dimensional stability  
209 of wood while weight loss of at least 5% could increase the natural durability of wood [24].  
210 Esteves and Pereira (2009) stated that weight loss and volume shrinkage after heat treatment  
211 at temperatures greater than 160°C occurred due to the degradation of extractive,  
212 hemicellulose, and a small portion of cellulose molecules in the amorphous region [25]. In  
213 other words, the main chemical components of wood constituent cells change in number and  
214 dimensions that contributed on the dimensional shrinkage and weight loss after heat  
215 treatment.

216 The untreated gmelina wood has a density of 0.46 g/cm<sup>3</sup>, higher than the density of mindi  
217 wood of 0.50 g/cm<sup>3</sup> (Fig. 5). The density of both wood tends to decrease after heat treatment,  
218 particularly in the samples without clamp due to higher degree of weight loss after heat  
219 treatment at 210°C. However, gmelina wood and mindi with metal clamp did not show  
220 significant changes after heat treatment. This may be due to a proportional decrease of the  
221 weight loss and volume shrinkage in both woods when the heat treatment process takes place.



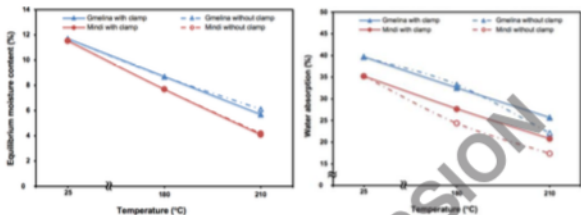
222  
223 **Fig. 5.** Effects of temperature and clamping during heat treatment on the density of gmelina and  
224 mindi woods.

### 225 Dimensional Stability

226 The equilibrium moisture content (EMC) in gmelina and mindi woods without treatment  
227 was almost similar, *i.e.* 11.68% and 11.53%, respectively (Fig. 6). The values were in  
228 accordance with typical EMC conditions in Indonesia that ranging between 12%-19%. The  
229 results showed that EMC decreased with increasing treatment temperature, reaching a  
230 minimum value of 5.68% in gmelina and 4.08% in mindi. The decrease of EMC in gmelina  
231 was higher than in mindi, or in other words the increase of hydrophobicity in gmelina after  
232 heat treatment was higher than in mindi wood. The application of metal clamp did not  
233 significantly affected the EMC changes. Research conducted by Metsä-Kortelainen and  
234 Viitanen (2012) reported a decrease in EMC in wood spruce from 10.6% to 5.9% and in Scots  
235 pine wood from 10.1% to 5.4% after heat treatment. This decrease is caused by the change  
236 in hygroscopic properties of the wood cell wall which become more hydrophobic.

237 Water absorption (WA) of untreated gmelina and mindi woods was 39.66% and 35.22%  
238 (Fig. 6). Heat-treated woods showed a remarkably lower WA values and the increase in  
239 treatment temperature further decreased the WA values of gmelina and mindi woods. The  
240 results obtained were in line with previous studies [8, 10, 12, 24], showing linear decrease of  
241 WA with the increase of treatment temperature. The decrease of WA in samples with metal

242 clamp was lower than samples without metal clamp, showing similar results with previous  
243 study [9-11]. The decrease in WA was directly proportional to the weight loss, in which the  
244 higher weight loss contributed to a higher decrease in WA. The decrease in WA occurs due  
245 to the changes in hygroscopic properties, where the woods become more hydrophobic after  
246 heat treatment. These changes are caused by a decrease in the number of hydroxyl groups  
247 due to chemical reactions during heat treatment that resulted in lower water adsorption [26].



248  
249 **Fig. 6.** Effects of temperature and clamping during heat treatment on the change of EMC and WA.

## 250 Wettability











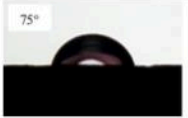

251 Contact angle represents the wettability of wood, where lower contact angle means higher  
252 tendency of wood to absorb water/moisture or in the other word, a higher wettability. The  
253 contact angle in gmelina and mindi before and after heat treatment is presented in **Table 3**.  
254 Untreated mindi wood had a greater contact angle than mindi or lower wettability. The  
255 contact angles of gmelina and mindi woods increased with the increase of treatment  
256 temperature, which means that the wettability of both woods decreased due to heat treatment.  
257 The increase of contact angle after heat treat in samples with metal clamps was lower than  
258 samples without clamp. The results were linearly related with the increase of weight loss after  
259 heat treatment, showing lower weight loss in samples without clamp.

260 Esteves *et al.* (2008) stated that the change of contact angle in wood is affected by the  
261 extractives content, where wood with more extractives generally has greater contact angles  
262 or low wettability [13]. The observation of contact angle in untreated gmelina and mindi  
263 woods showed opposite results, where gmelina with higher extractive content of 3.0% than  
264 mindi of 2.6% had lower contact angle. It was likely related to the density of wood, where  
265 gmelina wood has a lower density compared to mindi. The results of contact angles in  
266 gmelina and mindi after heat treatment were in line with the statement of Esteves *et al.*  
267 (2008), showing greater contact angles in gmelina than that observed in mindi wood [13].

## 268 3 Conclusions

269 The overall color change ( $\Delta E^*$ ) in gmelina and mindi woods was mainly affected by  
270 changes in lightness ( $\Delta L^*$ ) after heat treatment. The  $\Delta E^*$  increased with the increase of  
271 temperature, where the highest  $\Delta E^*$  observed in gmelina wood. Application of metal clamp  
272 during heat treatment limited the exposure of wood surface to heated air and contributed to  
273 a smaller  $\Delta E^*$  value compared to samples without clamps. Dimensional stability of gmelina  
274 and mindi woods increased with heat treatment as shown by the decrease of equilibrium  
275 moisture content and water absorption with a higher decrease achieved by mindi wood. The  
276

Table 5. Visual of contact angles of gmelina and mindi wood before and after heat treatment.

Wood species	Temp. (°C)	Contact angle (°)	
		With clamp	Without clamp
Gmelina	Control	49° 	49° 
	180	88° 	86° 
	210	92° 	111° 
Mindi	Control	62° 	62° 
	180	63° 	70° 
	210	75° 	82° 

280 results showed that heat treatment could modify wood color and improve dimensional  
281 stability of gmelina and mindi for value added products.  
282

283 The first author sincerely thanks the Indonesian Ministry of Research, Technology, and Higher  
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285 in 2018 (Contract No. 062/SP2H/LT/DRPM/2018).

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