



# Aaptamines, marine spongean alkaloids, as anti-dormant mycobacterial substances

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**Abstract** A new aaptamine class alkaloid, designated 2-methoxy-3-oxoaaptamine (**1**), together with seven known aaptamines (**2–8**) were isolated from a marine sponge of *Aaptos* sp. as anti-mycobacterial substances against active and dormant bacilli. The chemical structure of **1** was determined on the basis of spectroscopic analysis. Compound **1** was anti-mycobacterial against *Mycobacterium smegmatis* in both active growing and dormancy-inducing hypoxic conditions with a minimum inhibitory concentration (MIC) of 6.25 µg/ml, and compounds **2**, **5**, **6**, and **7** showed anti-mycobacterial activities under hypoxic condition selectively, with MIC values of 1.5–6.25 µg/ml.

**Keywords** Aaptamine · Marine sponge ·  
Anti-mycobacterial activity · Dormant · Tuberculosis

## Introduction

Tuberculosis (TB) is one of the most common causes of morbidity and mortality in HIV-positive adults living in poverty [1]. In 2011, there were an estimated 8.7 million new TB cases and 1.4 million deaths by TB [2]. It is now generally accepted that a minimum of 6 months of TB

treatment is required owing to the difficulty of eradicating non-replicating persistent *Mycobacterium tuberculosis*. Therefore, new lead compounds which exhibit anti-bacterial activity against *M. tuberculosis* in both its active and dormant states are urgently needed. Although the physiology of latent *M. tuberculosis* infection is still unclear, hypoxic conditions have been found to induce the dormant state of *Mycobacterium* sp., which has a drug-susceptibility profile resembling that of latent *M. tuberculosis* infection [3–5]. Based on this background, we established a screening system to search for substances that have anti-bacterial activity against dormant mycobacteria, and isolated halicyclamines [6, 7] (macrocyclic alkaloids) from a marine sponge of *Haliclona* sp., trichoderins [8] (new aminolipopeptides) from a culture of marine sponge-derived fungus of *Trichoderma* sp., and neamphamide B [9] (a new cyclic depsipeptide) from a marine sponge of *Neamphius* sp. on the basis of bioassay-guided separation. In the continuing screening of marine organisms, a new aaptamine class alkaloid, named 2-methoxy-3-oxoaaptamine (**1**), together with seven known aaptamines (**2–8**) were isolated from an Indonesian marine sponge of *Aaptos* sp. In this paper, we present the structure elucidation of compound **1** and the anti-microbial activity against *M. smegmatis* of the compounds isolated.

## Materials and methods

### General experimental procedures

NMR (600 MHz for <sup>1</sup>H-NMR, 150 MHz for <sup>13</sup>C-NMR, referenced to TMS) spectra were measured on a Varian Unity Inova 600 (Varian). Electrospray ionization time-of-flight mass spectrometry (ESI-TOF-MS) was recorded on a

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Q-ToF Ultima (Waters Co.). IR spectra were obtained with a Jasco FT/IR-5300 (KBr pellets). Column chromatography was performed on silica gel 60N (63–210  $\mu\text{m}$ , Kanto Chemical Co., Inc., Tokyo, Japan). TLC analysis was carried out by silica gel 60F<sub>254</sub> (Merck Chemical, Darmstadt, Germany). HPLC was performed by a Hitachi High Sensitivity Series system (UV detector: L-4000H) with Cosmosil 5C18-AR-II column (5  $\mu\text{m}$ , 20 mm i.d.  $\times$  250 mm, Nacalai tesque, Kyoto, Japan) and Capcellpak MGII S5 (5  $\mu\text{m}$ , 10 mm i.d.  $\times$  250 mm, Shiseido Co., Ltd., Tokyo, Japan). Other chemicals were purchased from Sigma (St. Louis, MO, USA) or Nacalai tesque (Kyoto, Japan).

#### Extraction and isolation of active compounds

The dried marine sponge (400 g), which was collected in 2009 at Kupang, Indonesia, was extracted with MeOH. The MeOH extract [32 g, minimum inhibitory concentration (MIC) against *M. smegmatis* = 200  $\mu\text{g}/\text{ml}$  (aerobic condition), 100  $\mu\text{g}/\text{ml}$  (hypoxic condition)] was then partitioned by the alkaloid extraction procedure [10]. Using bioassay guidance, the alkaloid fraction [2 g, MIC = 6.25  $\mu\text{g}/\text{ml}$  (aerobic condition), 6.25  $\mu\text{g}/\text{ml}$  (hypoxic condition)] was subjected to silica gel column chromatography [eluted with  $\text{CHCl}_3$ :MeOH:H<sub>2</sub>O (lower phase) containing 0.1 % triethylamine] to obtain nine fractions (Fr. A1–Fr. A9). Of the nine fractions, Fr. A2 and A3 [eluted with  $\text{CHCl}_3$ :MeOH:H<sub>2</sub>O = 65:3:1 (lower phase) containing 0.1 % triethylamine], and A6 [eluted with  $\text{CHCl}_3$ :MeOH:H<sub>2</sub>O = 15:3:1 (lower phase) containing 0.1 % triethylamine] showed potent anti-microbial activity against *M. smegmatis* under both aerobic and hypoxic conditions.

The active Fr. A2 [15.8 mg, MIC = 6.25  $\mu\text{g}/\text{ml}$  (aerobic condition), 3.13  $\mu\text{g}/\text{ml}$  (hypoxic condition)] was purified by ODS-HPLC [Capcellpak MGII S5, 10 mm i.d.  $\times$  250 mm, a linear gradient from 40 % MeOH<sub>aq.</sub> to 100 % MeOH over 30 min] to provide 2-methoxy-3-oxoaaptamine (1, 0.7 mg) and demethyl(oxy)aaptamine [11] (5, 1.0 mg). The active Fr. A3 [67.9 mg, MIC = 6.25  $\mu\text{g}/\text{ml}$  (aerobic condition), 3.13  $\mu\text{g}/\text{ml}$  (hypoxic condition)] was further separated by ODS-HPLC [Cosmosil 5C18-AR-II, 20 mm i.d.  $\times$  250 mm, a linear gradient from 40 % MeOH<sub>aq.</sub> to 100 % MeOH over 30 min] to give 2,3-dihydro-2,3-dioxoaaptamine [12] (2, 1.4 mg), compound 4 [13] (2.7 mg), 3-(methylamino)demethyl(oxy)aaptamine [12] (7, 2.2 mg), and compound 8 [13] (1.2 mg). Moreover, the active Fr. A6 [146.9 mg, MIC = 1.75  $\mu\text{g}/\text{ml}$  (aerobic condition), 12.5  $\mu\text{g}/\text{ml}$  (hypoxic condition)] was then purified by ODS-HPLC [Capcellpak MGII S5, 10 mm i.d.  $\times$  250 mm, a linear gradient from 20 % MeOH<sub>aq.</sub> to 100 % MeOH over 30 min] to afford aaptamine [14] (3,

5.3 mg) and 3-aminodemethyl(oxy)aaptamine [15] (6, 2.4 mg) (Fig. 1). Each known compound was identified by ESI-TOF-MS and 2D-NMR analysis and comparison with authentic spectral data [11–15].

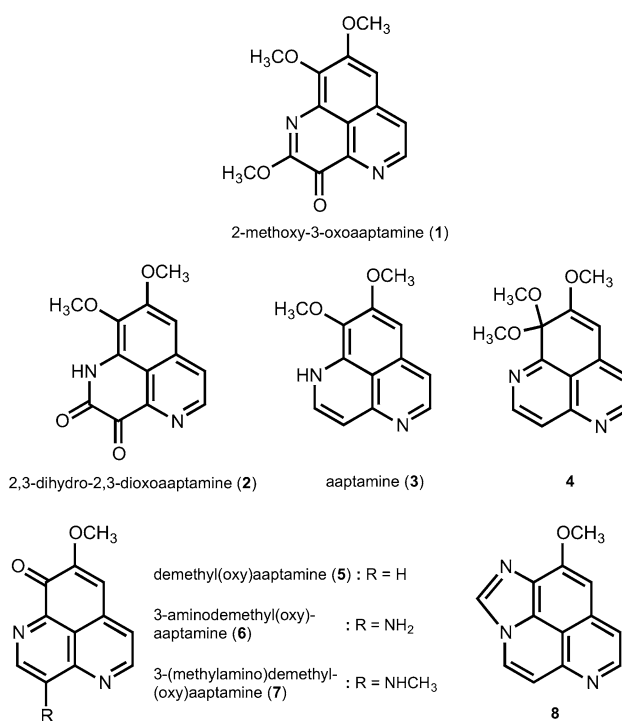
**2-Methoxy-3-oxoaaptamine (1):** Yellow amorphous solid. IR  $\nu_{\text{max}}$  (KBr)  $\text{cm}^{-1}$ : 2,926, 1,870, 1,487, 1,282, 1,086. UV  $\lambda_{\text{max}}$  (MeOH) nm ( $\epsilon$ ): 244 (3,500), 258 (2,200), 271 (2,300), 312 (5,850). ESI-TOF-MS:  $m/z$  295  $[\text{M} + \text{Na}]^+$ . High resolution (HR)-ESI-TOF-MS: Calcd for  $\text{C}_{14}\text{H}_{12}\text{N}_2\text{O}_4\text{Na}$ :  $m/z$  295.0695. Found 295.0677. <sup>1</sup>H-NMR (600 MHz, DMSO-*d*<sub>6</sub>,  $\delta_{\text{H}}$ ), <sup>13</sup>C-NMR (150 MHz, DMSO-*d*<sub>6</sub>,  $\delta_{\text{C}}$ ) spectra: as shown in Table 1.

#### Bacterial culture

*Mycobacterium smegmatis* mc<sup>2</sup>155 was grown in Middlebrook 7H9 broth (BD, Franklin, NJ, USA) containing 10 % OADC (BD), 0.5 % glycerol and 0.05 % Tween 80 or on Middlebrook 7H10 agar (BD) containing 10 % OADC and 0.5 % glycerol.

#### Determination of MIC values under aerobic and hypoxic conditions

Determination of MIC values against *M. smegmatis* was performed by the established MTT method [16]. Mid-log phase of *M. smegmatis* ( $1 \times 10^4$  CFU/0.1 ml) was inoculated in 96-well plates, and then serial diluted samples were



**Fig. 1** Chemical structures of the isolated compounds 1–8

**Table 1**  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR data for **1**

Position	$\delta_{\text{C}}^{\text{a}}$	$\delta_{\text{H}}^{\text{b}}$
2	156.3	
3-CO	172.0	
3a	144.3	
5	146.4	8.85 (d, $J = 4.8$ )
6	125.0	8.07 (d, $J = 4.8$ )
6a	134.2	
7	105.1	7.45 (s)
8	156.6	
9	149.0	
9a	125.3	
9b	119.4	
2-OCH <sub>3</sub>	54.7	4.01 (s)
8-OCH <sub>3</sub>	56.8	3.97 (s)
9-OCH <sub>3</sub>	63.6	4.38 (s)

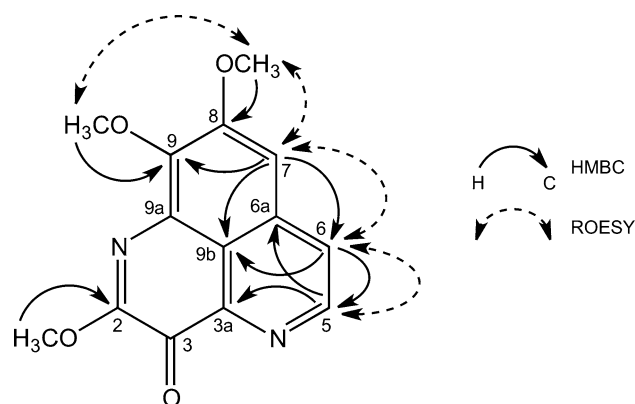
<sup>a</sup>  $^{13}\text{C}$ -NMR:  $\delta_{\text{C}}$  (ppm), (150 MHz, DMSO- $d_6$ )

<sup>b</sup>  $^1\text{H}$ -NMR:  $\delta_{\text{H}}$  (ppm,  $J$  in Hz), (600 MHz, DMSO- $d_6$ )

added to the 96-well plate. For aerobic conditions, the bacteria were incubated at 37 °C for 24 h, whereas the hypoxic model was performed based on the description by Rustad et al. [17] with minor modification. The mycobacterial bacilli were grown in Middlebrook 7H9 broth at 37 °C under nitrogen atmosphere containing 0.2 % oxygen until the optical density reached 0.8 at 600 nm. The bacilli were then inoculated to the 96-well plate at the same density as the aerobic condition and incubated at 37 °C under nitrogen atmosphere containing 0.2 % oxygen for 96 h. After incubation, 50  $\mu\text{l}$  of MTT solution (0.5 mg/ml) was added into each well and incubated at 37 °C for an additional 12 h under aerobic or hypoxic conditions. The optical density at 560 nm was measured to determine the MIC value.

## Results and discussion

The MeOH extract (32 g) of the dried marine sponge of *Aaptos* sp. showed anti-microbial activity against *M. smegmatis* in both active growing aerobic condition and dormancy-inducing hypoxic condition. From a preliminary result obtained by bioautography [18, 19] suggesting that the active constituents might be alkaloidal compounds, the MeOH extract was fractionated by the alkaloid extraction procedure [10]. Using bioassay guidance, the alkaloid fraction (2 g) was further fractionated by silica gel column chromatography and ODS-HPLC to afford compound **1** (0.7 mg, 0.035 % yield from the alkaloid fraction) together with 2,3-dihydro-2,3-dioxoaaptamine (**2**, 1.4 mg, 0.07 %) [12], aaptamine (**3**, 5.3 mg, 0.27 %) [14], dimethyl ketal

**Fig. 2** HMBC and ROESY correlations for **1**

derivative of demethyl(oxy)aaptamine (**4**, 2.7 mg, 0.14 %) [13], demethyl(oxy)aaptamine (**5**, 1.0 mg, 0.05 %) [11], 3-aminodemethyl(oxy)aaptamine (**6**, 2.4 mg, 0.12 %) [15], 3-(methylamino)demethyl(oxy)aaptamine (**7**, 2.2 mg, 0.11 %) [12], and compound **8** (1.2 mg, 0.06 %) [13] (Fig. 1). Of them, compound **4** having the dimethyl ketal moiety is assumed to be an artifact product from compound **5** in the isolation process [20].

Compound **1** was obtained as a yellow amorphous solid. The ESI-TOF-MS of **1** showed a quasi-molecular ion peak  $[\text{M} + \text{Na}]^+$  at  $m/z$  295, and the molecular formula was determined as  $\text{C}_{14}\text{H}_{12}\text{N}_2\text{O}_4$  by high-resolution (HR-) ESI-TOF-MS. In the  $^1\text{H}$ -NMR spectrum of **1**, the signals observed at  $\delta_{\text{H}}$  8.07 and 8.85 (each 1H, d,  $J = 4.8$  Hz), along with one isolated singlet at  $\delta_{\text{H}}$  7.45 (1H, s), resembled with those of aaptamine alkaloids having benzo[de][1,6]naphthyridine skeleton. Compound **1** also exhibited three methoxyl signals observed at  $\delta_{\text{H}}$  3.97,  $\delta_{\text{H}}$  4.01, and  $\delta_{\text{H}}$  4.38 (each 3H, s). In addition, the IR absorptions at  $1,670\text{ cm}^{-1}$  and the signal at 172.0 ppm in the  $^{13}\text{C}$ -NMR spectrum suggested the presence of a carbonyl group. Then, the HMBC correlations between  $\delta_{\text{H}}$  3.97 and  $\delta_{\text{C}}$  156.6 (C-8);  $\delta_{\text{H}}$  4.38 and  $\delta_{\text{C}}$  149.0 (C-9) suggested that two of the three methoxyl groups were positioned at C-8 and C-9. The ROESY correlations from H-5 ( $\delta_{\text{H}}$  8.85) and H-7 ( $\delta_{\text{H}}$  7.45) to H-6 ( $\delta_{\text{H}}$  8.07); from the methoxyl proton ( $\delta_{\text{H}}$  4.38) and H-7 ( $\delta_{\text{H}}$  7.45) to the other methoxyl proton ( $\delta_{\text{H}}$  3.97) also supported the positions of these methoxyl groups (Fig. 2). In addition, the ten unsaturations and the HMBC correlation between  $\delta_{\text{H}}$  4.01 and  $\delta_{\text{C}}$  156.3 (C-2) as shown in Fig. 2 provided the chemical structure (2-methoxy-3-oxoaaptamine) for compound **1**. All the proton and carbon signals were assigned as shown in Table 1.

It is well known that these benzonaphthyridine alkaloids show various biological properties such as  $\alpha$ -adrenoreceptor antagonistic, anti-microbial, anti-proliferative, anti-protozoal, and anti-viral activities [21]. Our group have also reported that aaptamine (**3**) activated p21 promoter

**Table 2** MIC of aaptamines against *M. smegmatis* under aerobic and hypoxic conditions

Compounds	MIC ( $\mu\text{g/ml}$ )	
	Aerobic	Hypoxic
<b>1</b>	6.25	6.25
<b>2</b>	25	6.25
<b>3</b>	100	200
<b>4</b>	200	100
<b>5</b>	25	6.25
<b>6</b>	6.25	1.5
<b>7</b>	6.25	1.5
<b>8</b>	25	12.5
Isoniazid	2.5	25

stably transfected in human osteosarcoma cell MG63 in a p53-independent way [22]. To date, some semi-synthetic derivatives of aaptamine (**3**) and iso-aaptamine [23] have been reported to exhibit growth inhibitory activity against *M. tuberculosis* or *M. intracellulare* under active growing condition, while no anti-mycobacterial activity has been reported for aaptamine (**3**) and other natural related compounds [24, 25].

On the other hand, hypoxia is known to be a major factor inducing a nonreplicating persistence of tubercle bacilli. Wayne et al. [3, 26] proved that oxygen depletion triggered the dormancy response, such as isoniazid resistance, in mycobacterial bacilli. Based on these observations, we established a screening system to search for substances that exhibit anti-bacterial activity against dormant mycobacteria. Indeed, the minimum inhibitory concentration (MIC) value of isoniazid against *M. smegmatis* is observed to be 2.5  $\mu\text{g/ml}$  under aerobic conditions, whereas it shifted to 25  $\mu\text{g/ml}$  under nitrogen atmosphere containing 0.2 % oxygen, as shown in Table 2. Compounds **1–8** were then examined for their anti-microbial effects against *M. smegmatis* using this assay system (Table 2). Under active growing aerobic condition, compounds **1**, **6**, and **7** showed potent anti-microbial activities with MIC values of 6.25  $\mu\text{g/ml}$ , and compounds **2**, **5**, and **8** exhibited moderate activities with MIC values of 25  $\mu\text{g/ml}$ . On the other hand, the activity of compounds **3** and **4** was very weak. Although further investigation is necessary for structure–activity relationship (SAR) studies, these observations suggest that the existence of the carbonyl group at the C-3 or C-9 positions would provide the positive effect for the anti-microbial activity against *M. smegmatis* under active growing condition. Interestingly, compounds **2**, **5**, **6**, and **7** exhibited anti-microbial activity against dormancy-induced *M. smegmatis* selectively, with MIC values of 6.25, 6.25, 1.5, and 1.5  $\mu\text{g/ml}$ , respectively. Taken together, compounds **6** and **7** showed the most potent and

selective anti-microbial activity, with MIC values of 6.25 and 1.5  $\mu\text{g/ml}$  under aerobic condition and hypoxic condition, respectively (Table 2). Detailed evaluation using pathogenic *Mycobacterium* spp. and synthetic study of analog compounds for SAR analysis are in progress.

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