# Social Determinants and Risk Factor of Tuberculosis Patients: Case Control Study at Health Services Applying Directly Observed Treatment Shortcourse (DOTS) in Bandar Lampung, Indonesia

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Abstract. Tuberculosis (TB) control program will be more emphasizes on social determinants. It is because those social determinants directly or through TB risk factor influence TB incidence. This study aimed to identify significant influence of social determinants and TB risk factor of TB incidence. A total of 238 smear-positive TB patients and 238 patients without TB, were obtained from all smear-positive TB patients as well as TB suspects, in all of Directly Observed Treatment Shortcourse (DOTS)'s health service facilities, in Bandar Lampung, Indonesia. Data were collected, by structured interview questions, and was then analyzed using both bivariable Chi square and multivariable logistic regression analysis. The results showed that social determinants (education and income per capita), housing condition (ventilation and house density index) and household food security (food budget) proved significant influences to the TB incidence. Those indicators could explain 50.7% variation of the TB incidence. In conclusion, TB control program should highlight social determinants, housing condition, and household food security improvement. However, the program will require participation of all related institutions and should also be supported by an appropriate government policy.

*Keywords*: tuberculosis, social determinants, housing condition, household food security, health access, DOTS strategy

#### 1. Introduction

Tuberculosis is a contagious disease caused by *Mycobacterium tuberculosis*. It is transmitted when an infectious person coughs, sneezes or even talks that can spread *M. tuberculosis* to the air and inhaled by healthy person. About one third of the world's population has been infected by TB, and become latent of TB infection. In certain condition, such as immune decreasing, latent of TB infection become active TB infection (Thorn 2007).

In 2013, it was estimated about nine million incidence of TB globally, which is equivalent to 126 cases per 100 000 population. Most of the cases, about 56%, occurred in Asia. Indonesia was one of the five countries with the highest TB incidence (0.41 – 0.52 million cases) (WHO 2013). Moreover, World Health Organization (WHO) tuberculosis control related to the 2015 Millennium Development Goal (MDG) of halting and reversing TB incidence has been achieved in most countries, but not in some burden countries including Afghanistan, Bangladesh, Indonesia and South Africa. In addition, to achieve another MDG's target by 2050, associated with TB control, that is to eliminate TB

as less than one case per one million population per year, is a big challenge especially for some burden countries (WHO 2012).

In order to accelerate incidence decreasing, TB control program will 'move out of the TB box' with more emphasize on social determinants (Raviglione 2009). It is because that tuberculosis is closely related to social determinants. It is also well known that social determinants directly or through TB risk factors influence TB (Lönnroth 2011). Regarding to WHO, social determinants consist of low education, unemployment, low income per capita, low social class, gender and race (Solar and Irwin 2010). These determinants affect risk factors, that can be explained as the following: a) poor housing condition (consist of: lack of ventilation, overcrowding and existence of indoor pollution) affect high-level contact with infectious droplets; b) household food insecurity (consist of: lack of food budget, food diversity and food quantity) affect to host defense; c) poor access to health care (consist of: long distance to health care, transportation need) affect to host defense; and d) unhealthy behavior (HIV and alcohol abuse) also affect to host defense (Lönnroth 2011).

Studying social determinants and risk factors of TB comprehensively is required to identify significant influence of certain variables. This research provide an influence of social determinants and risk factors of TB as a comprehensive variables, rather than as a partial variable as discussed by previous researchers (Boccia et al. 2011; Harling, Ehrlich, and Myer 2008; Hill et al. 2006; Ximenes et al. 2009).

Bandar Lampung is the capital city of Lampung Province, Indonesia. In the city, there are 27 primary health centers (PHC), and one general hospital, that conducted Directly Observed Treatment Shortcourse (DOTS) strategy. According to notification cases, which was recorded in the health services, although cure rate in the period of 2011 – 2013 has been maintained at 85%, the notification cases during 2012 – 2014 increased from 968 – 972 cases (Dinas Kesehatan Kota Bandar Lampung 2011; Dinas Kesehatan Kota Bandar Lampung 2010). Moreover, Lampung was classified as one of the poorest provinces in Indonesia, as well as province with poorest housing condition (Kementrian Kesehatan Republik Indonesia 2010).

This study aimed to identify significant influence of social determinants, and risk factors to TB incidence. In this study, all variables of the concerned determinants will be analyzed in order to have a comprehensive understanding. The knowledge, influence of social determinants, and risk factors to TB incidence, can be worthwhile to support TB control program, especially in decreasing TB incidence.

### 2. Material and Method

# 2.1. Study Design

This study was a case control study that compared case and control groups to identify significance influence of social determinants and risk factors to TB.

## 2.2. Study Site and Samples

This research has been conducted at all health services that applying DOTS strategy, in Bandar Lampung. Population of this research consisted of case population and control population. The case population was consisted of all smear-positive TB patients that were recorded at the health services during period of January – July 2012. Meanwhile, control population was consisted of all TB suspects who did not suffer from TB which confirmed by negative microbiological of sputum examination or a chest X-ray examination. The samples size of both case and control group consisted of 238 smear-positive TB patients and 238 patients without TB, based on samples calculation for case control study with significance level of 95% and 80% power.

## 2.3. Research Variables

Research variables in this study consisted of indicators of social determinants, housing condition, food security and health access. Indicators of social determinants include: education (length of education that has been achieved: < 9 years and  $\ge 9$  years), occupation (unemployed, employed), income (income per capita: < US\$ 1,639,  $\ge$  US\$ 1,639), social classes (having none productive asset, having  $\ge 1$  productive assets) (Badan Perencana Pembangunan Nasional, 2010a; CSDH, 2007; Badan

Pusat Statistik Kota Bandar Lampung, 2013; Solar & Irwin, 2010). Indicators of housing conditions consisted of house density index (house area divided by number of person:  $< 8 \text{ m}^2, \ge 8 \text{ m}^2$ ), ventilation (percentage of ventilation area of house width:  $< 20\%, \ge 20\%$ ), and indoor air pollution ( $\ge 1$  sources, none) (Balakrishnan *et al.*, 2004; Kementrian Kesehatan Republik Indonesia, 2010). Indicators of household food security consisted of food budget (monthly food budget for each person:  $< US\$ 30, \ge US\$ 30$ ), diet diversity (number of diet type of daily consumption: < 3,  $\ge 3$ ), and food sufficiency (ever missed meal time and reduced meal portion, never missed meal time or reduced meal portion) (Badan Pusat Statistik Provinsi Lampung, 2013; Bickel & Cook, 2000). Meanwhile, indicators of health access consisted of distance to health facility ( $\ge 5$  km, < 5 km) and transportation needs (yes, no) (Badan Perencana Pembangunan Nasional 2010b). Based on observation, in Bandar Lampung, there was no differences in race and gender related to TB cases and there was no TB patients have HIV confection, as well as alcohol abuse, therefore, the variables were not studied in this research (Wardani 2011).

#### 2.4. Data Collection and Analysis

In this research, data was collected by using direct interview based on designed questionnaire. Data was then analyzed using bivariable analysis (Chi square) and multivariable analysis (logistic regression), in order to identify the influence of each variable and influence of significant variables to the TB cases, simultaneously. Enter method was used in order to perform logistic regression analysis in this research. The first step of the method, variables with p value less than 0.25 in bivariable analysis can be followed to multivariable analysis. In the second step, variables with p value of more than 0.05 was moved out from the model, one by one regarding to their p value. Variable with the highest p value will be taken out. The second step will be ended when all of variables had p value of less than 0.05 and the model resulted was the final model (Hastono 2001).

#### 2.5. Ethical Clearance

Ethical clearance for this study was obtained from the Medical and Health Research Ethics Committee, Faculty of Medicine, Gadjah Mada University, Indonesia. In this research, all of involved respondents also asked to participate on voluntary basis and received sufficient information to consider consent prior to the interview.

#### 3. Result and Discussion

Based on the bivariable analysis, using Chi Square, it is shown that all of research variables have p value of less than 0.05, except occupation as presented in Table 1. Refer to the result, all variables, except occupation, have significant influence to TB. In addition, diet diversity and housing density index were categorized as variables with the highest odds ratio (OR) among the other variables.

Table 1: Bivariable Anal	vsis of Social Determinants	and Risk Factors to	TB.

Variables	Cases	Controls	OR	95% CI	p value
Education					
< 9 years	84 (77.1%)	25 (22.9%)	4 6 47	2.040 7.002	. 0.001
$\geq$ 9 years	154 (42.0%)	213 (58.0%)	4.647	2.840 - 7.603	< 0.001
Occupation					
Unemployed	90 (49.2%)	93 (50.8%)	0.040	0.655 1.272	0.777
Employed	148 (50.2%)	145 (49.8%)	0.948	0.655 - 1.372	0.777
Income					
< US\$1,639	167 (77.0%)	50 (23.0%)	0.044	5 005 12 400	. 0.001
$\geq$ US\$1,639	71 (27.4%)	188 (72.6%)	8.844	5.825 - 13.428	< 0.001
Social classes					
None	183 (70.9%)	75 (29.1%)			
$\geq 1$ productive	55 (25.2%)	163 (74.8%)	7.231	4.814 - 10.863	< 0.001
assets	, ,	` ,			

House density index					
< 8m2	162 (79.8%)	41 (20.2%)	10.242	6.643 – 15.791	< 0.001
$\geq$ 8m2	76 (27.8%)	197 (72,2%)	10.242	0.043 - 13.791	< 0.001
Ventilation					
< 20%	172 (77.1%)	51 (22.9%)	9.556	6.278 – 14.543	< 0.001
≥ 20%	66 (26.0%)	187 (74.0%)	9.550	0.276 - 14.343	< 0.001
Indoor air pollution					
sources					
<u>≥</u> 1	169 (64.5%)	93 (35.5%)	3.819	2.605 - 5.597	< 0.001
None	69 (32.2%)	145 (67.8%)	3.019	2.003 – 3.397	< 0.001
Food budget					
< US\$30	222 (58.7%)	156 (41.3%)	7.239	4.110 – 12.941	< 0.001
≥ US\$30	16 (16.3%)	82 (83.7%)	1.439	4.110 - 12.941	< 0.001
Diet diversity					
< 2 diet type	235 (87.0%)	35 (13.0%)	13.506	4.093 – 44.570	< 0.001
$\geq$ 2 diet type	3 (1.5%)	203 (98.5%)	13.300	4.075 – 44.570	< 0.001
Food sufficiency					
No	222 (55.6%)	177 (44.4%)	4.782	2.664 – 8.582	< 0.001
Yes	16 (20.8%)	61 (79.2%)	4.762	2.004 - 0.302	< 0.001
Distance to PHC					
$\geq$ 5 km	21 (72.4%)	8 (27.6%)	2.782	1.207 - 6.414	0.021
< 5 km	217 (48.5%)	230 (51.5%)	2.762	1.207 - 0.414	0.021
Transportation need					
Yes	73 (64.6%)	40 (35.4%)	2.190	1.414 - 3.392	0.001
No	165 (45.5%)	198 (54.5%)			

OR: Odds ratio CI: Confidence interval

The results show that there were more respondents with lower education in case group, rather than respondents in control group. It was logically correlated with the fact that there were more respondents in case group, who have lower income per capita, and have less productive assets, compare with the respondents in control group. The conditions also associated with the fact that there were more respondents in case group with worse housing condition, food security and lower health care access, compare with the respondents in control group. A related research presented that person with higher education will also tends to have higher occupation, higher income and have more productive assets, compare with the person with lower education (Braveman *et al.*, 2011). Some researchers also showed that person with better social determinants tends to have better housing condition, food security and health access (Alexiu *et al.*, 2010; Hur *et al.*, 2011; Sabawoon *et al.*, 2011). In this research, occupation was not risk factor of TB, since most of women both in case group and control group, were housewives. In addition, although distance to PHC and transportation needed were significant to TB incidence, however, the significance values were only a slight differences. It is mostly correlated with the spatial condition of the concerned PHC across the city, which has the longest distance to their respondents is only about six kilometers.

Based on the multivariable analysis using logistic regression, it is shown that, in the last model, education, income per capita, ventilation, house density index and food budget have significant influence to TB (Table 2). Equation of the model was developed as the following: -2.389 + 0.943 Education +1.339 Income per capita +1.023 Ventilation +1.328 House density index +0.692 Food budget. Those variables simultaneously explained 50.7% variation of TB incidence.

Table 2 Multivariable Analysis of Social Determinants and Risk Factors to TB.

Variables	В	p value	Exp (B)	95% CI
Education	0.943	0,002	2.569	1.408 - 4.686
Income per capita	1.339	< 0,001	3.814	2.331 - 6.238
Ventilation	1.023	< 0.001	2.781	1.622 - 4.769

House density index	1.328	< 0.001	3.775  2.193 - 6.500
Food budget	0.692	0.047	1.997  1.011 - 3.945
Constant	-2.389	< 0.001	0.092

B: Beta

Exp (B): Exponent Beta

Our findings suggest that social determinants (represented by education and income per capita), together with housing condition (represented by ventilation and house density index), as well as household food security (represented by food budget), influence the TB incidence. Those variables explained 50.7% variation of TB incidence. Meanwhile, the rest of variation tends to be affected by other variables, which is beyond this research. This result concurred with a review, presented that social determinant, directly or through TB risk factors, influence TB incidence (Lönnroth 2011). Founding of this research is also interrelated with the 'Stop TB Strategy', stated that TB control program should address the need of poor, and vulnerable populations (WHO, 2014). Moreover, action of social determinants improvement, in order to support TB control program, has been conducted in Lima, Peru. The study showed that social determinants improvement, namely 'Fighting Poverty to Control TB Project', could decrease poverty in one hand and in another hand support TB control program. The project included improving access to training program for worker, providing microcredit loans, and supporting development of microenterprises (Hargreaves et al. 2011).

#### 4. Conclusion

Based on the findings above, TB control program should highlight social determinants improvement, housing condition, as well as food security improvement. Some of the improvement, will require participation of all related institutions, therefore the inter institution coordination and mutual respect among the involved stakeholders should be strengthened. The improvement also need to be supported by an appropriate government policy, through economic and social development, with a more emphasize on the most vulnerable people.

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