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## Preface

These refereed proceedings contain all papers that have been accepted after anonymous review and their subsequent revisions by author(s) for presentation at the 13<sup>th</sup> Annual World Congress of the Academy for Global Business Advancement (AGBA), on November 26 - 28, 2016 and the 2016 AGBA—Indonesian Chapter’s Inaugural Conference on August 3 - 4, 2016 that are being hosted by the Faculty of Economics and Business, Universitas Sebelas Maret, Indonesia.

These refereed proceedings have been edited by Dina-Nicoleta Lascau, (University of Richmond, USA), Eric Werker, (Simon Fraser University, Canada), Gary L. Frankwick, (University of Texas at El Paso, USA), Irwan Trimugroho, (Universitas Sebelas Maret, Indonesia), and Zafir U. Ahmed (Academy for Global Business Advancement, USA).

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We place on record our sincere thanks for your participation and support, and look forward to having you with us next year at AGBA’s 14<sup>th</sup> Annual World Congress.

Sincerely,

Dina-Nicoleta Lascau  
Eric Werker  
Gary L. Frankwick  
Irwan Trimugroho  
Zafir U. Ahmed

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TRANSFORMATIONAL LEADERSHIP MODEL IN IMPROVING UNIVERSITY  
PERFORMANCE  
(STUDY IN UNIVERSITY OF LAMPUNG)

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**Abstract**

Leaders play an important role in determining the survival and development of a university. Leaders can define policies to be taken and decisions that determine the success of a university. Therefore, research on the model of leadership, especially transformational leadership is very important to be conducted by the University of Lampung. The purpose of this study was to test the effect of transformational leadership on performance, test the effect of transformational leadership on organizational support, as well as test the mediation support for innovation in organizations on performance. The research was conducted by survey method. The research samples were 300 person, taken using nonprobability sampling techniques with convenience sampling analysis done using Structural Equation Model. Validity test results indicated that there are two items which invalid on variable support for the organization, as well as one item statement on performance variables. The test results by using SEM analysis is known that transformational leadership does not directly influence the performance of lecturer and staff, but indirectly affect by mediated with the support for innovation in organization variable. Transformational leadership effect on support for innovation in organization, as well as support for innovation in organization variable fully mediated the effect of transformational leadership on the performance of lecturer and staff at the University of Lampung.

**Keyword:** transformational leadership, support for innovation in organization, performance

**Introduction**

University is an institution that creates the human resources that are reliable and able to answer the challenges of the world of work and to anticipate the changes that occur in society. In an effort to create skilled human resources (have academic capability, have good character, faith, and discipline) then the university should have a leader who is able to direct the achievement of these objectives.

Leaders play an important role that determines the survival and development of the university. Leaders can define policies to be taken and decisions that will determine the success of university. Leaders must be able to motivate lecturers and staff to contribute more for their strong commitment to university education without being forced.

The role of a leader who is able to transform ideas, desires, goals, Lampung University in order to be well understood and implemented by lecturers and staff at the University of Lampung is very important that teachers and lecturers willing to contribute maximum with initiatives arise from them without any coercion from the leader, high motivation of lecturers as well as their strong commitment to the organization, which is University of Lampung. Therefore, research on the model of leadership, especially transformational leadership is very important to be conducted by the University of Lampung.

Studies conducted in several countries, namely Israel, Nigeria, Singapore and Tanzania, showed that transformational leadership committed by leaders of schools and university will affect job satisfaction, behavior of organizational members and commitment to the organization (Koh et al., 1995; Bogler 2001; Nguru et al., 2006; Othman et al., 2011).

The problems often faced by university managers in leadership, among others, different styles of leadership among the leaders will lead to difficulties in achieving the set goals, the lack of coordination between the leader of lecturers and staff can cause communication gaps that can lead to conflict, lack of motivation given by the leaders would make lecturers and staff feel that they did not get the attention and support of the leader, the lack of commitment from lecturers and staff to contribute the maximum to the organization, and the behavior of lecturers and staff were seen from the still productivity, resource utilization and lacking coordination among the leaders and lecturers as well as lecturers.

## Literature Review

### Transformational Leadership

Leaders play an important role in managing a company operational activities and in understanding an organization. (Pawar and Kossov, 1997). Bass (1980) and Yald (1999) on Bass et al (2000) defined transformational leadership as "a style of leadership that transforms followers to rise above their self-interest by altering their morals, ideals, interests, and values, motivating them to perform better than usually expected."

The transformational leader has been described as a person who articulates a vision of the future that can be shared with colleagues and subordinates, translates subordinate intelligence, attunes to individual differences between people, prefer to use private resources including time, knowledge and experience, and serves as a coach, teacher and mentor (Gummesson and Bass, 1990; Yald, 1999 in Shrivastava (2004)).

Transformational leadership contains four components (Bass, 1985, 1998; Bass & Avolio, 1993 in Bass and Steidlmeier, 1999): (1) charisma or ideal influence (associated with behaviors), (2) inspirational motivation, (3) intellectual stimulation - (4) Consideration of subordinates.

Pawar and Kossov (1997) in Pawar and Kossov (1997) designed a way to assess the capabilities needed by a leader, who is referred to as The Leadership Practices Inventory (LPI). LPI was developed based on the analysis of case studies on more than 1,100 managers based on their best experience as a leader. The behavior and activities of the managers are grouped into five categories: challenging the process, inspiring the shared vision, enabling others to act, modeling the way, and encouraging the heart.

### Support for innovation in organization

Support for innovation in organization refers to employee perceptions of respect and concern for the organization (Gersberg et al., 1988). Support that in organizations can increase employee expectations that the organization will provide greater reward to the achievement of organizational goals (Eisenberger, et al., 1986). Research by Zhou and George (2001) in Joshi (2007) were carried out on organizational support for creativity, which refers to the degree to which an employee feels that the organization encourage, respect, appreciate and recognize the employees who demonstrate creativity.

Innovative organization defined as one the major which focus creative functions of its members (Siegel and Koenemann, 1978). Some dimensions of the creative organization: (1) leadership, leadership type that characterizes

An innovative organization that they support the initiation and development of new ideas in the whole system and ensure the diffusion of power throughout the system, (2) membership, related to group members who develop ideas, processes and procedures at their work place, (3) Norms for Diversity, members of the system has positive attitude towards diversity and the system itself positively responds to diversity, and several different behaviors, (4) Continuous Development, and (5) Consistency.

Krauthäger et al. (1998) measured the employee commitment to provide support to organizations with **Perceived Organizational Support** construct of 36 statements that measured by 7-point Likert scale to express their consent to the items in question. The study was conducted at a private high school teacher. The results showed that the effect of perceived organizational support will be greater on the teachers who have a strong ideology to change than the teachers who have a low ideology for change.

### Performance

Performance is a multidimensional concept. Performance at the basic level can be regarded as aspects of the process, and aspects of performance results. Performance includes certain behavior. Based on these conceptualization only actions that can be improved which can be regarded as the performance (Campbell et al., 1993 in Sonnentag et al., 2008). In fact, this performance concept explicitly describe only goal-oriented behavior, which is behavior to encourage employees to improve organizational performance (Campbell et al., 1993 in Sonnentag et al., 2008).

Research conducted by Li and Hung (2009) focus on two types of performance which are task performance and organizational citizenship behaviors (OCB). Task performance defined as behavior that is recognized by the formal reward system and is part of the requirements described in the job descriptions, while citizenship behavior is the discretionary individual behavior, which indirectly or explicitly recognized by the formal reward system, and which, in the aggregate, promote the efficient and effective function from organization (Organ, Posner, & Macneil, 2008 in Li and Hung, 2009). William and Anderson (1991) stated that there are two categories of **Organizational Citizenship Behavior** or OCB: (1) OCBI which is prodrift behavior that the organization in general such as informing a person does not come to work, adhere to the informal rules designed to keep order. (2) OCBI which is a behavior that directly benefit certain individuals and indirectly contribute to the organization. William and Anderson measured OCB performance using 21 items statements related to OCBI and OCBI.

### RESEARCH METHODS

The method utilized is exploratory research with survey to lectures and staff in the University of Lampung with the aim to gather information related to the leadership that has been implemented at the University of Lampung. Roscoe (1975) in Sekaran (2006) states that a decent sample size in the study were between 30 to 500. Based on the number, the number of samples in this study was 380 people. Samples were taken by using non probability convenience sampling technique. Data analyses was done using Structural Equation Modeling (SEM).

The variables in this study consisted of transformational leadership (X), performance (Y) that is mediated by the support for innovation in organization variable (M), measured using five Likert scale which are strongly agree (SA), agree (A), quite agree (QA), disagree (D) and strongly disagree (SD).

Transformational leadership using the **Leadership Practices Inventory** (LPI) developed by House and Hanges (1997), which consists of 34 items statements, but in this study leadership was measured using 20 items statements, which are follows the developments that have an impact on the organization, explains the issues to be addressed. Performance using questionnaire developed by William and Anderson (1991), which consists of 21 items statements, but in this study only used 10 items statements, and support for innovation in organization variable using indicators developed by Singel & Koenenker (1978).

### RESULT AND DISCUSSION

The study was conducted using a survey by distributing questionnaires for lecturers and staff on the Faculty as well as in the Technical Services Unit and the Bureau at the University of Lampung. The questionnaire contains 15 items about transformational leadership, the support for innovation in organization and performance. Questionnaires were distributed as many as 200 copies of questionnaires, the returning and possible to process was 239 copies. Thus, the response rate in this study was 92.5%.

Respondents in this study come from seven faculties and two UPT and one Bureau at the University of Lampung. Most of the respondents are male (as many as 131 persons or 59.34%) aged 36-40 years (51 persons or 19.69%), with the number of lectures 109 persons (42.08%) and staff 130 persons (57.92%) and the working period of 2-15 years (36.67%).

## **2. Methodology: Validity and Reliability Testing Results**

Validity test results on transformational leadership variable showed that all three statements were valid with factor loading greater than 0.5. In the variable support to the organization, there are two invalid statements items, and one item of performance variables is not valid because it has factor loading less than 0.5.

The results of reliability testing on transformational leadership variable were consistent with the results of tests performed by Fields (1997) and Kouzes and Posner (1993), in which the reliability value ( $\alpha$ ) is between 0.82 to 0.82. In the variable support for innovation in organization, there are three invalid items statements, and on performance variables, there are three invalid items statements.

## **3. Quantitative Analysis Results**

Based on the responses of respondents about transformational leadership it is known that the leaders of the University of Lampung rated by lecturers and staff were excited about the future that will be achieved by the University of Lampung. Lampung University leaders are optimistic and enthusiastic in achieving the vision of the University of Lampung is becoming Tan Sri Universiti in Indonesia. In order to achieve this, the leader of the University of Lampung tasks to motivate lecturers and staff to provide support to the University of Lampung in achieving that vision.

Lampung University leaders also rated by lecturers as right to achieve better future, it is more than the efforts to deconcentrate of the institution as a first step to achieve the goals that have been set by the University of Lampung. However, respondents stated that the leadership of the University of Lampung were taking less time to celebrate the achievements that have been reached with lecturers and staff. It is important for them as in celebrating the achievements on the achieved accomplishment as a form of appreciation and rewards for the efforts and the attempts that have been made by lecturers and staff in living and improve the reputation of the University of Lampung. Respondents also expect the leaders of the University of Lampung to ensure that they are recognized for their contribution for the success of a project. Based on this, it can be said the importance of the recognition given by the leader of the University of Lampung on contributions of lecturers and staff for success in performance that still need to be increased to motivate employees to contribute more and achieve more in achieving vision set by University of Lampung.

Respondent responds about the support to the organization showed that most respondents (81.41%) stated that they are committed to achieving the objectives of the University of Lampung. This commitment is very important, because without the commitment of lecturers and academic staff at the University of Lampung, the desired objectives will not be achieved. The role of the Executive Board of Lampung which provides support to lecturers and staff also rated quite well by the respondents. The support given by the Executive Board in Lampung can increase motivation to work for lecturers in the University of Lampung environment.

However, respondents also stated that the methods used by the organization is still compatible with the goals to be achieved by the University of Lampung. The method applied by the University of Lampung perceived by some lecturers and staff are still not undergo much changes from years earlier, so that it can show the target achievement set by the University of Lampung. The majority of respondents (43.41%) stated that in the University of Lampung, how to do the job is still not perceived as important as the work performed. This suggests that the process of carrying out the work have less attention, but leaders are more likely to focus more on the judging of the final results achieved. This can lead to delays in achieving the goals set, given the process undertaken will determine the final result set. If the process is done well, for example in providing good service to the stakeholders will have an impact in the form of an impression (image) is good for the University of Lampung because the services provided have been able to satisfy stakeholders and to improve the effectiveness of goal attainment.

In the performance variables, most of the respondents (86.87%) stated that they would tell their superior or co-workers if they are not go to work. Awareness is one of indicators in assessing the performance of lecturers and staff, and it is also related to competence to be accepted which an remuneration and incentives of merit allowances calculated on the basis of their position. Respondents also reported that they comply with the internal rules applied by the University of Lampung in order to maintain minimum (81.08%). Furthermore, the assessment of lecturers and staff (77.22%) to maintain the property or goods of the organization is high. It is important remembering the sense of ownership for the property or the property of the organization will make lecturers and staff maintain and protect the property. Respondents also stated that they did not take time off more than conditions set (25.88%), increasing awareness of lecturers and staff for activities in accordance with the specified schedule can happen because several faculties at the University of Lampung have implemented ISO 9001: 2008, such as the Faculty of Economics and Business, Faculty of Law, Faculty of Social and Political Sciences and other faculties progressing towards the ISO so they have strict rules relating to hours of service to stakeholders.

## **3. SEM Analysis Results**

### **A. Normality Testing**

Data distribution will be said to be normal if the value of the critical ratio (C.R) resulting from descriptives and kurtosis were greater than ± 1.96.

Based on the results of normality testing, point descriptives in univariate normal distribution is Y11, Y18, Y8, Y8, X1.11, X1.13, X1.14, X1.17, X1.19, X2.11, S2.7, S2.8, S2.9, while overall multivariate were greater than ± 1.96 which is 36.78%, so that overall testing data are not normally distributed. However, the data will still be used as data is the tool answer of the respondents.

### **B. Outliers Testing**

Outliers detection using  $D^2$  Mahalanobis measurement,  $D^2_{\text{Power}}$  divided by number of variables used as the research ( $D^2_{\text{M}}/n$ ). For small sample of  $D^2_{\text{M}}$  value were greater than 2.5 meanwhile for big sample category, if it is greater than 4 then it is assumed that outliers is exist(Hair, et al., 2010). Based on outliers measurement, there are no statements which indicates the existence of outliers, where each of  $D^2_{\text{M}}$  were no greater than 4.

### **C. Goodness-of-fit Model testing**

Goodness-of-fit model shows how well a particular model reproduces the observed covariance matrix between the items which are in common covariance matrix indicators.

observed and expected (Bar et al., 2010). Value Goodness of Fit (GFI) after the modification are as follows:

Table 1. Structural Model GOF Criteria Evaluation

Measurement Index Criteria	Reference Values	Result	Description
GFI	≥ 0.90	0,678	Good
AGFI	≥ 0,90	0,678	Marginal
RMSEA	0,00 - 0,05	0,03	Good
IFI	≥ 0,90	0,710	Marginal
CFI	≥ 0,90	0,717	Marginal

The model used in this research is adequate, it can be seen from some of the values that have met the criteria of fit of the model.

### 3.3. Mediation Test Results

Mediation test was conducted to test the intervention of a third variable. The mediation test results are as follows:

Table 2. Mediation Test Results

Variables	Beta estimation	S.E.	t-Test	P-value	Result
<b>Before Mediation</b>					
Performance<→ Transformational Leadership	-0,348	0,300	-1,160	0,148	Non Significant
<b>After Mediation</b>					
Performance<→ Transformational Leadership	-1,047	0,300	-3,488	0,001	Significant
Organization Support<→ Transformational Leadership	0,973	0,198	4,902	0,000	Significant
Performance<→ Support for innovation in organization	0,742	0,243	3,051	0,002	Significant

Source: Appendix 2

Based on the mediation test results above, the direct effect of transformational leadership on performance was not significant ( $\beta = -0,348$ , p value = 0,148), and when the transformational leadership is mediated by the support for innovation in organization, the influence of transformational leadership on the performance become significant ( $\beta = -1,047$ , p value = 0,001). Therefore, full mediation is supported.

Based on the research that has been done it can be stated that the performance of lecturers and staff will increase with the existence of transformational leadership and support for innovation in organization. The leader of the University of Lampung should be taking the time to celebrate the success that has been achieved together with lecturers and staff at the University of Lampung, appreciation or reward for the success of the work done by lecturers and staff at

the University of Lampung. Lampung University leaders should not only oriented to the achievement of the results of jobs but also pay attention to the process in doing the job. In doing their jobs, lecturers and staff should be given the freedom to work on a job in a manner that is different from the usual, thus encouraging them to be more innovative in doing the task assigned to them.

### Conclusion

The results showed that transformational leadership is not directly improve the performance of lecturers and staff. This means that the performance of employees will not directly influence due to good leadership adopted by the leader of the University of Lampung, but there are other factors which is support to the organization. Support for innovation in organization mediates the influence of transformational leadership in improving the performance of lecturers and staff at the University of Lampung. Support for innovation in organization plays a role in improving performance where employees will feel appreciated for the efforts that has been made and given the freedom to try a new method to solve a given task, so this will have an impact on their performance.

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